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Growth, Spectroscopy and Lasing of Titanium-Doped Sapphire

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# ABSTRACT

The growth, spectroscopy and lasing of titanium-doped sapphire are discussed. Particular attention is given to the problems of achieving high quality laser material and to the identification and elimination of defects that currently limit Ti:Al<sub>2</sub>O<sub>3</sub> laser performance.

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--- The announcement of efficient, broadly tunable laser action in titanium-doped sapphire has inspired considerable work. Experiments at NRL2, and elsewhere 3 have demonstrated successful lasing using both flashlamp and laser pumping. Crystal quality, however, is in great need of improvement, since loss within the material is as much as an order of magnitude greater than would be expected for high-quality sapphire. In this paper we discuss some of the problems associated with the growth of this material and point out their effect on laser performance. We also show some areas where the spectroscopy of this material is not well understood, and propose some explanations for these spectroscopic features. Ten in the service of the service of

## I. Growth

Growth of titanius-doped sapphire (Ti:Al203) has been achieved using the heat-exchanger method, the flame-fusion method and the Czochralski method. The samples used in this work were grown by the latter technique, using an inductively heated iridium crucible in zirconia insulation. The atmosphere over the crucible was pure N2. Growth was initiated by touching an oriented sapphire seed onto the top of the melt.

The pull rate was typically 0.5 cm/hr, with diameter control achieved by constantly measuring the weight of the growing crystal.

The crucible charge used in growing Ti:Al<sub>2</sub>O<sub>3</sub> typically consists of TiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub>, with the latter either in pressed pellets or in crackle form (pure sapphire grown by the flame-fusion method). Above approximately 1750°C, TiO<sub>2</sub> undergoes a disassociation to Ti<sub>2</sub>O<sub>3</sub> (Ti<sup>3+</sup>) via the reaction

$$2TiO_2 \rightarrow Ti_2O_3 + 1/2 O_2$$

The liquid Ti<sub>2</sub>0<sub>3</sub> then reacts and mixes with the molten Al<sub>2</sub>0<sub>3</sub> upon reaching 2050°C. The miscibility of Ti<sub>2</sub>0<sub>3</sub> in molten Al<sub>2</sub>0<sub>3</sub> is small, not exceeding 1% by weight, posing part of the difficulty in achieving quality crystals of high concentration. The distribution of Ti<sup>3+</sup> between the crystal and the melt may be modeled by

$$C_8 = KC_0 (1 - \frac{W_8}{W_1})K-1$$

where  ${}^{\text{H}}\text{C}_2{}^{\text{H}}$  is the dopant concentration in the solid,  ${}^{\text{H}}\text{C}_0{}^{\text{H}}$  is the initial dopant concentration in the melt,  ${}^{\text{H}}\text{W}_a{}^{\text{H}}$  is the weight of the crystal,  ${}^{\text{H}}\text{W}_l{}^{\text{H}}$ , is the weight of the charge, and  ${}^{\text{H}}\text{K}^{\text{H}}$  is the distribution coefficient between the solid and the liquid. The value of  ${}^{\text{H}}\text{K}^{\text{H}}$  varies depending on growth conditions, and in particular on the crystallization rate. The smallness of  ${}^{\text{H}}\text{K}^{\text{H}}$  is due in large part to the substantial mismatch between the ionic radius of  ${}^{\text{H}}\text{K}^{\text{H}}$  and  ${}^{\text{H}}\text{K}^{\text{H}}$ . By pulling at a very small rate ( ${}^{\text{H}}\text{C}\text{O}$ .025 cm/hr), crystals may be obtained with concentrations approaching approximately 0.24

weight percent of titanium; however, at concentrations above about 0.1%, absorption loss at laser wavelengths becomes unacceptable, so that our most successful laser work has been obtained using rods at a nominal 0.1% concentration.

The substitution of Ti3+ into Al203 presents some interesting contrasts to the substitution of Cr3+ in ruby. If we compare the ionic radius in sixfold coordination<sup>4</sup> of  $Al^{3+}$  to that of  $Ti^{3+}$ , we find a 26% increase from adding the  $d^1$  electron to the closed (p6) shell (0.53 Å for Al3+ versus 0.67 Å for Ti3+). Ionic radius decreases (for constant coordination and valence) along the 3d transition series4, so that at chromium ( $d^3$ )  $R^{3+}$  =0.61 Å. The substitution of Ti<sup>3+</sup> is thus substantially more perturbative to the lattice than the similar substitution of Cr3+ in ruby. It is likely that Ti3+ distorts its local environment into closer resemblance with the isomorphous structure Ti203, which has a unit cell about 13% bigger than that of Al<sub>2</sub>O<sub>3</sub>. This distortion can be seen in studies of low concentratons of Ti3+ in Al203, where an increase in lattice parameter is observed6, and in the graphic demonstration afforded by boules of Ti:Al203 which shatter during growth due to the incorporation of excess titanium?. A more subtle difference is that of crystal field stabilization energies8,9. The crystal field stabilization energy (c.f.s.e) may be thought of as the reduction in ground state energy of the incorporated ion compared to that of the free ion due to the crystal field splitting of the degenerate electronic states (the usual Tanabe-Sugano diagram disguises this fact, since by convention the ground state energy is plotted with zero slows). Because of differences in the

splitting parameter Dq and splitting patterns for different symmetries. there will in general be a difference in c.f.s.e. for the same ion in sites of different symmetry, aside from the larger differences in lattice energy. Usually large lattice effects dominate, but it often is necessary (as in the case of normal and inverted spinels 10) to include c.f.s.e. In the general case, we find the ratio of c.f.s.e for octahedral versus tetrahedral eites to be about 3:2 for Ti<sup>3+</sup> (<sup>2</sup>D free ion ground state), compared with 9:2 for Cr3+ (4F free ion ground state). For the crystal field strength of sapphire, the difference in c.f.s.e for particular ions, the "octahedral site preference energy"ll, is only about 0.33 eV per ion for Ti3+, but 2.0 eV for Cr3+. The large site preference energy for Cr3+ in part explains the usual occurrence of Cr3+ in six-fold coordination in complexes, glasses, and crystals. The implication for Ti3+ is that a non-trivial fraction of Ti3+ ions might be incorporated into defect or interstitial sites of low symmetry during growth. After-growth annealing would be expected to relax some of these ions into octahedral sites.

## II. Spectroscopy

The absorption and fluorescence spectra of Ti:Al<sub>2</sub>O<sub>3</sub> are to first approximation what would be expected from a d<sup>1</sup> ion in an octahedral crystal field<sup>12</sup>. There are, however, complications to these spectra which have a direct bearing on the lasing characteristics of Ti:Al<sub>2</sub>O<sub>3</sub>. We begin by discussing the features of the absorption and fluorescence spectra which are easily explained, and then consider the ultraviolet and infrared absorptions which accompany them.

The blue-green absorption band of Ti:Al<sub>2</sub>O<sub>3</sub> is due to the vibronically broadened  ${}^2T_2 \rightarrow {}^2E$  transition<sup>13</sup>. The double hump is due to a static Jahn-Teller distortion<sup>12</sup> of the E state which splits the excited state by nearly 2000 cm<sup>-1</sup>. The  ${}^2T_2$  state is actually split into A<sub>1</sub> + E by the trigonal component of the crystal field but since this is less than the Jahn-Teller splitting<sup>13</sup> we will ignore it for the time being. Since the cation site lacks inversion symmetry (the site symmetry is rigorously C<sub>3</sub> rather than O<sub>h</sub> for the center of a regular octahedron), the crystal field mixes odd parity states with the even parity d orbitals, relaxing the forbiddeness of the even to even parity transition. As a result, electric dipole transitions are allowed, and the absorption and fluorescence bands of Ti:Al<sub>2</sub>O<sub>3</sub> are fairly strong ( $\sigma \sim 10^{-20} \text{cm}^2$ ), and largely independent of temperature<sup>14</sup>. The fluorescence band peaks at about 760 nm, with a fluorescent lifetime of about 4 µsec.

The next obvious feature in the absorption spectrum of Ti:Al<sub>2</sub>O<sub>3</sub> is the strong charge transfer absorption which sets in below 300 nm. Because the charge-transfer absorption satisfies both spin and parity selection rules, it is strongly electic dipole allowed, with an oscillator strength ~0.1.<sup>15</sup> This strong absorption makes the observation of structure within the band using crystals of moderate dopant concentration and reasonable thickness very difficult. Tippins<sup>10</sup> has studied the charge-transfer bands of titanium (and other transition metals) in Al<sub>2</sub>O<sub>3</sub>, using low concentrations and thin samples. Tippins' spectrum of Ti:Al<sub>2</sub>O<sub>3</sub> assigns a hump in the UV absorption between 5.5 and 8 eV to the charge-transfer

 $Ti^{3+} + 0^{2-} + Ti^{2+} + 0^{-}$ 

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in good agreement with simple theory. A weakened hump appears between approximatly 4.5 and 5 eV is assigned to the charge transfer

$$re^{3+} + 0^{2-} \rightarrow re^{2+} + 0^{-}$$

This analysis does not prove entirely satisfying, since the measured content of iron in our Ti:Al<sub>2</sub>O<sub>3</sub> samples is less than one part-per-million, smaller by more than an order of magnitude than that required for the observed absorption. The spectra of Ferguson and Fielding<sup>18</sup>, and Lehman and Hardon<sup>19</sup>, taken in thicker, higher doped crystals, show much stronger absorption, but without sufficient resolution in the area of 300 nm to properly resolve this question. Recently experiments<sup>20,21</sup> duplicating Tippins' measurements on Ti:Al<sub>2</sub>O<sub>3</sub> have also raised questions regarding the proper assignment of the absorption around 300 nm. A charge transfer of the type

$$Ti^{4+} + 0^{2-} \rightarrow Ti^{3+} + 0^{-}$$

was proposed. An experiment in which crystals of accurately known dopant and extraneous impurity concentrations are used to study the region around 300 nm would go a long way towards putting this issue to rest. Ultra-violet pumping of Ti:Al<sub>2</sub>O<sub>3</sub> samples gives two strong fluorescence bands, one peaked around 425 nm, the other around 575 nm (Fig. 1). The excitation bands for these emissions are broad but discrete, peaking around 250 nm, and 310 nm, respectively, suggesting two types of point defect (color) centers<sup>22,23</sup>. Considering the strength of the color center fluorescence, at least part of the anomalous UV absorption is certainly due to color center absorption.

The spectroscopic feature with which we are most concerned is the broad IR absorption observed in  $Ti:Al_2O_3$ . This absorption peaks at approximately 750 nm but extends with considerable strength over the entire

Ti:Al203 fluorescence band. The band is most pronounced in crystals at higher doping levels, and its strength grows quickly with doping. For example, unannealed samples of 0.1 and 0.2 weight percent titanium grown under identical conditions are found to have peak absorptions of 2%/cm and 13%/cm, respectively.

Absorption by iron impurities 18 is a possible cause for this feature. Sapphire containing an iron concentration of approximately 5x10-4 would partially account for the placement and the breadth of the absorption; this explanation must, however, be rejected for several reasons. The most obvious is that the concentration of iron in our samples is roughly 3 orders of magnitude too small to account for the measured absorption coefficient. In addition, the iron absorption would show a marked (factor of 3) increase in absorption between light polarized parallel and perpendicular to the c axis, respectively. No large change in absorption is seen in our Ti:Al203 samples. The assignment of this band in iron doped sapphire to an  $Fe^{3+}-Fe^{2+}$ charge transfer speaks against this strong absorption for such a dilute impurity. Absorption by Ti3+ pairs must also be rejected because of the low dopant concentration, and the success of after growth treatment in reducing the band. Absorption by Ti2+ has also been raised as a possibility. Aside from the large size<sup>4</sup> of this ion (R =  $0.86\text{\AA}$ ), Ti<sup>2+</sup> is so strongly reducing that it would not be expected to form during growth, much less remain divalent. Since Ti2+ is isoelectronic with V3+, the spectrum of this ion should resemble that of the latter, shifted to lower energy by perhaps 50% because of the reduction in Dq with the reduced ionic charge and the change in Racah parameters. This would give a double-humped band 13 in the right

location, but with strong polarisation dependence. Other transition metal impurities must be ruled out because of their low concentration, and the lack of accompanying spectroscopic features in other regions.

An interpretation more consistent with measured impurity levels, as as well as crystallographic, spin-resonance, and annealing data is to attribute the broad IR absorption in  $Ti:Al_2O_3$  to  $Ti^{3+}$  in low symmetry sites, most likely caused by adjacent point defects. In examining this interpretation, we must consider the formation and maintenance of point defects in  $Al_2O_3$ .

The ubiquity of point defects in pure sapphire has long been established. Dils<sup>24</sup> has estimated the cationic defect concentration in pure (undoped)  $Al_2O_3$  to be  $10^{-4}$  and  $10^{-7}$  of available lattice sites, for Frenkel and Schottky defects, respectively. Overall charge neutrality suggests a concentration of anionic Schottky defects (oxygen vacancies) of the same order. The number of oxygen interstitials is expected to be relatively  $small^{25}$ . When sapphire is doped with titanium, the defect situation is complicated considerably  $^{26}$ . Incorporation of TiO<sub>2</sub> into the melt has the double effect of increasing the anion to cation ratio in the melt, while fostering the formation of oxygen vacancies to charge compensate the tetravalent cation 27. Once titanium is reduced to the trivalent state, a whole new set of factors arise which will tend to increase the number of defects. The Ti3+ ions can, for example, occupy aluminum sites vacated by interstitial Al3+ ions, promoting charge compensation by anion vacancies. By comparing the strain energy due to the incorporation of  $Ti^{3+}$ into an Al3+ site6, with the energies required to form anion or cation

vacancies, we expect a vacancy concentration a few percent times the Ti3+ concentration due just to this factor.

Electron paramagnetic resonance (EPR) measurements confirm the presence of plentiful defects near Ti<sup>3+</sup> ions in Ti:Al<sub>2</sub>O<sub>3</sub>. Early EPR studies<sup>28</sup>,29 indicated that in Ti:Al<sub>2</sub>O<sub>3</sub> the g-factor perpendicular to the c-axis is non-zero, indicating that Ti<sup>3+</sup> does not enter the lattice exactly substitutionally for Al<sup>3+</sup>. The EPR lineshape of Ti:Al<sub>2</sub>O<sub>3</sub> is broad, asymmetric, and weakly varying with angle, suggesting a random (both in direction and strength) inhomogeneous broadening. Bates, et.al.<sup>30</sup>,<sup>31</sup>, accounted for this lineshape by estimating a concentration of singly charged point defects of 20 ppm, or a smaller number of multiply charged defects, in a crystal of Ti concentration 80 ppm. Random imperfections of the lattice, (such as stacking faults, and dislocations) and strain also contribute to the linewidth.

To test the effect of annealing on IR absorption, a comparison was made between two pieces of Ti:Al<sub>2</sub>O<sub>3</sub>, obtained by halving a slice taken across the boule. Both pieces initially have identical concentrations of dopant, scattering centers, impurities, defects, etc. The difference between the "as grown" sample, and the sample annealed at high temperature in an atmosphere which provides proper oxygen stoichoimetry<sup>32</sup> is visible even to the naked eye. The "as grown" sample is dulled by scattering centers (apparently small bubbles), and it has a slight bluish cast from the red end of the IR absorption of the IR absorption. The annealed sample is clearer, and pinker, with no visible blue tint. Accordingly, the absorption spectra show a decrease in background absorption and in the IR band, and an increase in blue-green

shifts by few nanometers to shorter wavelength. The annealed sample shows an increase in the deep red fluorescence, a decrease in yellow color center fluorescence, but an increase in violet of fluorescence. Overall, color center emission drops substantially.

Relating specific changes in the spectra to specific types of defects is difficult with the available data. The reduction in IR absorption is probably a combination of reduction in adjacent point defects ( $0^{2-}$  vacancies, color centers, aluminum interstitials or vacancies, etc.) and the relaxation of  $Ti^{3+}$  from interstitial or defect sites into the desired Al $^{3+}$  sites. The increase in blue-green absorption may be due to an increase of  $Ti^{3+}$  in high symmetry sites from the above effects, and to the reduction of  $Ti^{4+}$  ("as grown" crystals probably contain some  $Ti^{4+}$ ) to  $Ti^{3+}$ .

The sensitivity of the individual ion absorption cross-sections to their sites further complicates the interpretation of annealing data. Since the  $^2\text{T}_2 \rightarrow ^2\text{E}$  transition is electric dipole allowed by the odd parity crystal field components, ions in grossly distorted sites, where the odd parity components are a strong perturbation, are expected to have a larger cross-section (by perhaps an order of magnitude) than an ion in, for example, an aluminum site without nearby point defects.

The broad wavelength range of the IR absorption is likely due to the range of field strengths and symmetries. In normal Al<sup>3+</sup> sites, the low symmetry perturbations due to the Jahn-Teller and trigonal distortions are about one tenth of the strength of the octahedral field<sup>13</sup>. In a site where

the trigonal field is a larger perturbation, the splitting of the  $^2T_2$  into A<sub>1</sub>+E would be such larger, giving a double absorption at longer and shorter wavelengths compared to the absorption by the ion in an octahedral-dominated site i.e., the normal Al<sup>3+</sup> site. An ion in an interstitial site, where the dominant symmetry is likely to be tetrahedral<sup>33</sup>, would be expected to absorb at energies around one half that of the octahedrally coordinated Ti<sup>3+</sup>. A three-fold axis is present in both of these cases, so the Jahn-Teller splitting would still be present. The shorter wavelength component of the trigonal field doublet may be part of the pronounced violet tail of the blue-green absorption.

The preceeding discussion of Ti:Al<sub>2</sub>O<sub>3</sub> has suggested some of the material's strengths and weaknesses as a laser. The broad tuning range, the 4-level nature of the vibronic transition, the high gain, and the rugged host contrast with the short spontaneous emission lifetime, and the difficulty of obtaining high concentration, high quality crystals. Recent experiments have illustrated some of these aspects of Ti:Al<sub>2</sub>O<sub>3</sub>, and their effect on its usefulness as a laser.

The most unusual feature of Ti:Al<sub>2</sub>O<sub>3</sub> is its very broad tuning range. The large overlap between the titanium and ligand orbitals strongly couples the Ti<sup>3+</sup> ion to lattice vibrations. The material has lased from about 660 nm to 990 nm, with lasing beyond 1 µm expected in lower loss material. The high gain of Ti:Al<sub>2</sub>O<sub>3</sub> allows a choice of tuning elements; we have used gratings. filters, prisms, and birefringent filters, with best results obtained using the latter because of its low insertion loss. Because the predominant broadening for lasing Ti<sup>3+</sup> ions is homogeneous, the laser line can be narrowed to moderate linewidths with minimal loss in energy.

pump and lasing transitions mostly take place to high lying vibrational levels in the excited and ground manifolds, respectively, which relax to lower vibrational states approximately  $10^6$  times faster than the radiative decay rate. Thus, lasing takes place primarily due to transitions from the lowest vibrational states of the  $^2$ E manifold, to excited vibrational states of the  $^2$ T<sub>2</sub> manifold. The threshold is therefore relatively low, and in part because the quantum efficiency is approximately unity up to about  $^{400}$ CK,  $^{34}$  no cryogenic cooling is required for efficient operation.

The high gain of Ti:Al<sub>2</sub>O<sub>3</sub> (high for a vibronic laser in this wavelength range) is directly related to short fluorescent lifetime, so that it is both a positive feature and a negative feature. The high gain allows the use of high transmission output couplers at reasonable thresholds, resulting in high slope efficiency. The short lifetime makes flashlamp pumping substantially more difficult than with other transition metal lasers, like ruby and alexandrite.

The UV and IR absorptions in Ti:Al<sub>2</sub>O<sub>3</sub> greatly limit laser performance. The UV absorption prevents the co-doping of laser rods to convert UV into bluegreen, in order to increase flashlamp-pumped efficiency. The IR absorption keeps the threshold in Ti:Al<sub>2</sub>O<sub>3</sub> at least an order of magnitude higher than it would otherwise be. With a loss at laser wavelengths of greater than 1%/cm in our best Ti:Al<sub>2</sub>O<sub>3</sub> rod, we measure a flashlamp-pumped laser threshold for a 10 cm x 0.63 cm rod (with fluorescent converter)<sup>35</sup> of about 20 joules into the flashlamp. Simple scaling suggests a threshold of about 2 joules for a rod with a loss of 0.1%/cm (comparable to that obtained in good quality

laser crystals). Improvements in flashtubes, drivers, and fluorescent converters could reduce the threshold for a flashlamp-pumped Ti:Al<sub>2</sub>O<sub>3</sub> to below a joule, and increase slope efficiency from our present value of about 0.5%, to a few percent.

### IV. Conclusion

We have outlined some of the problems in growing high quality, heavily doped Ti:Al<sub>2</sub>O<sub>3</sub>. While some of these problems will remain intrinsic to the medium, others can be eased through research into the growth of Ti:Al<sub>2</sub>O<sub>3</sub> with better stoichiometry and fewer defects. The spectroscopy of Ti:Al<sub>2</sub>O<sub>3</sub> will be better understood through more detailed optical and EPR studies of samples, as a function of growth conditions and annealing, paying particular attention to the incorporation of impurities. We expect these refinements to increase the already respectable performance of the Ti:Al<sub>2</sub>O<sub>3</sub> laser.

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## Figure Captions

- Fig. 1. Fluorescence of Ti:Al<sub>2</sub>O<sub>3</sub> with excitation at different wavelengths: curve "a" from excitation at 253.7 nm, curve "b" from excitation at 313 nm; curve "c" from excitation at 454 nm. The curves are on different intensity scales for ease of presentation.
- Fig. 2. Absorption spectra of Ti:Al<sub>2</sub>0<sub>3</sub> before and after annealing in controlled atmosphere.



